

FEB 08 2005

PTO/SB/21 (08-04)

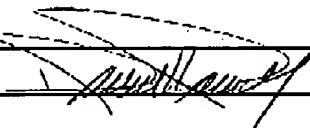
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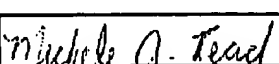
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/821,335
	Filing Date	March 19, 2001
	First Named Inventor	Lasse Wengel Christoffersen
	Art Unit	2872
	Examiner Name	Hayez G. Aesar
	Attorney Docket Number	01485.0002-US-01
Total Number of Pages in This Submission		26

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> Alter Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request After Allowance for Examiner to Initial Reference on Form 1449 with Appendices A and B (25 pgs.)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Altera Law Group, LLC		
Signature			
Printed name	David H. Carroll		
Date	February 8, 2005	Reg. No.	29,803

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Michele A. Read	Date	February 8, 2005

This collection of information is required by 37 CFR 1.5. This information is required to obtain or retain a benefit by the public which is in this (and by the USPTO) to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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p. 2

FEB 08 2005

APPLICATION SERIAL NO. 09/821,225

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christoffersen, et al. Examiner: Fayez G. Assaf
Serial No.: 09/821335 Group Art Unit: 2872
Filed: March 29, 2001 Docket No.: 01485.0002-US-01
Title: STACKED PLANAR INTEGRATED OPTICS AND TOOL FOR
FABRICATING SAME

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE TRANSMITTED TO THE
U.S. PATENT AND TRADEMARK OFFICE, FAX NO. (703) 872-9306 ON FEBRUARY 8, 2005.

MICHELE A. READ
NAME OF PERSON SIGNING THIS CERTIFICATE

Michele A. Read
SIGNATURE

**REQUEST AFTER ALLOWANCE FOR EXAMINER TO INITIAL REFERENCE ON
FORM 1449**

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants are in receipt of a Notice of Allowance and Fee(s) Due mailed December 27, 2004, but have not received notification that all of the references submitted to the examiner have been considered. Applicants respectfully request the examiner to indicate for the record consideration of the information furnished therein by initializing Document Number WO 97/00980 and to return a copy of the initialized Form 1449 to applicants.

APPLICATION SERIAL NO. 09/821,225

PATENT

This request is being made upon the following facts. On September 20, 2001, applicants mailed an Information Disclosure Statement to the Office. The Office received this Information Disclosure Statement on September 25, 2001, as indicated by the U.S. Patent Office date stamp on the Form 1449 attached in Appendix A to this paper. Inasmuch as the Information Disclosure Statement was filed prior to the mailing date of the first Office action on the merits, the certification made at the time was proper.

The absence of the initialed document on the PTO Form 1449 is believed to be an oversight as this reference was submitted in a conforming manner, was not crossed off to indicate that it was not in conformance and not considered, and was not mentioned in subsequent Office actions or in the examiner's statement of reasons for allowance as not being in conformance and not having been considered. The examiner respectfully is requested to initial document WO 97/00980 for the record.

Copies of the relevant sheets of the Information Disclosure Statement as filed, including the reference, are enclosed as Appendix B for the examiner's convenience and to avoid any confusion.

The examiner respectfully is requested to telephone the undersigned if there is any question about the Request.

Respectfully submitted,
Altera Law Group, LLC
Customer No. 22865

Date: February 8, 2005

By: 

David H. Carroll
Reg. No. 29,903
DHC/mar